

COMPLEX REGULATIONS

REQUIRE EXPERT GUIDANCE

Kenway Consulting helps financial services companies achieve regulatory compliance through better customer data management.

Financial services has always been a highly regulated industry. But the number of regulations you have to comply with is increasing—and they're becoming more complicated.

First AML, KYC, and FATCA added regulatory complexity to customer data. Now if you're not prepared to adhere to GDPR, CCPA, and a growing list of state and global data privacy laws, you could be exposing your company to significant financial penalties and reputational damage.

Compliance starts with better customer data management, and Kenway is the management and technology consulting firm that helps ensure you are ready.

Working as a bridge between business and IT stakeholders, we create an actionable strategic roadmap that reduces risk and positions your company to confidently and efficiently comply with regulatory requirements now and in the future.

Regulatory compliance isn't a conventional IT project.

You know it. And more importantly, so do we. That's why our approach to compliance is as flexible as it is comprehensive.

We rejected the standard IT project checklist and developed a program that navigates the complex interactions between data, technology, and regulatory interpretations while taking into account the impact those regulations have across your business—and the likelihood that the regulations will change mid-project.

Here's what our compliance program looks like.

Phase 1: Boost efficiency and effectiveness with a strategic action plan.

Compliance is multi-faceted. We leverage your company's regulatory interpretations to apply rules, identify vulnerabilities, and create a structured project plan that defines resources, activities, and scope. From there, we assign ownership, establish timelines, and determine dependencies to keep your project moving on budget and on schedule.

Phase 2: Connect the dots of your customer data.

Compliance starts with clean, timely and accurate customer data. Following discovery sessions with your teams, we map your customer data and analyze business process impacts—including understanding data sources, uses, linkages, and flows—to pinpoint where your risks are and help you avoid them.

Phase 3: Get compliant.

Inherently complex, compliance is further complicated by new and evolving regulations. We define and implement required changes to business processes, technology, and market-facing items like contracts, policies, and customer interfaces as well as build the tools and processes required to meet global compliance initiatives and mitigate risk.

Phase 4: Stay compliant.

Compliance isn't a single point in time; it needs to be continually monitored and maintained. We identify and implement controls needed to ensure continued compliance over time, taking into account evolving regulations or business changes. We also help with change management, working to train your employees on compliance requirements and processes so they know what to consider as they manage customer data moving forward.

We have a compliance program for every situation.

When it comes to data and compliance, we've seen it all. Because our program is flexible, we help you achieve customer data compliance wherever you are in the compliance lifecycle.



We're just getting started.

Great. We'll create an action plan and manage your compliance project from initial analysis to implementation to change management.



We're stuck somewhere in the middle.

Not to worry. We'll identify gaps and areas of risk with your current compliance efforts and provide the expertise you need to get across the finish line.



We're done but we still aren't compliant.

It happens. We'll identify why your compliance initiative failed and provide the knowledge and resources required to implement fixes.

Ready to take the complexity out of compliance?

TALK TO US

ABOUT KENWAY

Kenway Consulting is a management and technology consulting firm that empowers financial services companies to thrive in tomorrow's market by helping them with compliance and regulatory changes, data and analytics, technology implementations and transformations, and process improvements.

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